



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,296	04/24/2001	Lee Daniel Feinberg	033337/0109

CONFIRMATION NO. 4378

## FORMALITIES LETTER



\*OC000000006198224\*

Johnny A. Kumar  
FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, DC 20007-5109

Date Mailed: 06/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 930.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/20/2001 HND001 00000056 09840296

01 FC:201	355.00 DP
02 FC:205	65.00 DP
03 FC:203	45.00 DP

*A copy of this notice MUST be returned with the reply.*

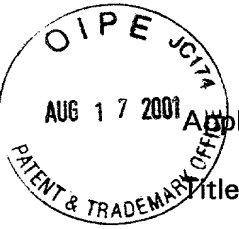


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0340306-00404  
F04T00 06204050



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Lee Daniel FEINBERG

Title: AN OPTICAL NETWORK ARCHITECTURE WITH REDUCED EQUIPMENT

Appl. No.: 09/840,296

Filing Date: April 24, 2001

Examiner: Not Yet Assigned

Art Unit: 2633

**TRANSMITTAL OF FORMAL DRAWINGS**

Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (5 sheets, Figures 1-5) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

August 17, 2001

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
Telephone: (202) 672-5489  
Facsimile: (202) 672-5399

By

Johnny A. Kumar Reg. No. 43,250

for Johnny A. Kumar  
Attorney for Applicant  
Registration No. 34,649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 033337/0109

Applicant: Lee Daniel FEINBERG

Title: AN OPTICAL NETWORK ARCHITECTURE WITH REDUCED EQUIPMENT

Appl. No.: 09/840,296

Filing Date: April 24, 2001

Examiner: Not Yet Assigned

Art Unit: 2633

**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231  
Attn: BOX MISSING PARTS

Sir:

This is in reply to the Notice to File Missing Parts of Application mailed on June 19, 2001 in the above-identified application. Transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration and Power of Attorney (3 pages)
- ☒ Copy of Notice to File Missing Parts
- ☒ Five sheets of Formal Drawings (Figures 1-5) with Transmittal
- ☒ Check in the amount of \$465.00 in payment of the \$355.00 basic filing fee, the \$45.00 fee for claims in excess of twenty, and the \$65.00 surcharge fee (37 C.F.R. § 1.16(e)).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

August 17, 2001

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
Telephone: (202) 672-5489  
Facsimile: (202) 672-5399

By Manu Wale Reg. No. 43,250

for/ Johnny A. Kumar  
Attorney for Applicant  
Registration No. 34,649